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Jonathan E. Retsky - Reg. No. 34,415

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

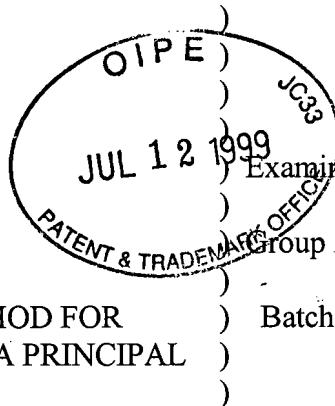
In re Application of:

Howard B. Garber

Serial No.: 08/868,200

Filed: June 3, 1997

For: SYSTEM AND METHOD FOR )  
TRADING HAVING A PRINCIPAL )  
MARKET MAKER )  
Examiner: J. Patel  
Group Art Unit: 2765  
Batch No. R67



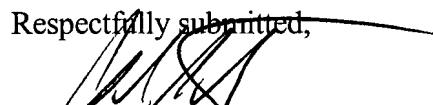
SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner For Patents  
Box Issue Fee  
Washington, D.C. 20231  
Attn: Draftsman

Dear Sir:

Applicant hereby submits the enclosed nine (9) sheets (in triplicate) of formal drawings for the above-referenced application. The formal drawings reflect the changes requested in the Notice Of Allowability mailed April 12, 1999.

Respectfully submitted,

  
Jonathan E. Retsky  
Reg. No. 34,415  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
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Dated: July 8, 1999